

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

First Named Inventor:	Jerome D. Johnson		
Serial No.:	09/566,029	Examiner:	Natalie Pass
Filed:	December 18, 2000	Group Art Unit:	3626
Docket:	O14-012-02-US	Customer No.	22,854
Title:	System and Method for Providing Configuration and Sales Information to Assist in the Development of Insurance Plans		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir or Madam:

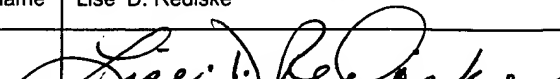
Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant in the above-identified application submits this Information Disclosure Statement, together with form(s) PTO/SB08/A and/or B enclosed herewith, to bring to the Examiner's attention documents of which Applicant is aware.

Applicant, by submitting the listed documents, does not represent any of the documents to be prior art to this application, and expressly reserves the right, under 37 CFR § 1.131, to establish otherwise. Applicant does not represent the listed documents to be material to the examination of the application, but has cited same to make it clear beyond all doubt that Applicant has complied with the Duty of Disclosure. Furthermore, this disclosure statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. Copies of all foreign publications and/or non-U.S. patent documents, if any, are enclosed herewith.

06/16/2005 MWOLDGE1 00000009 09739448

03 FC:1806

~~180.00-0P~~

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO at 703-872-9306 or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Lise' D. Rediske		
Signature		Date	6-13-05

Applicant requests that this submission be considered under 37 CFR 1.97(c), as it is being filed after the mailing date of a first office action on the merits, but prior to the mailing date of a final action or notice of allowance. As such, either (i) a statement under 37 CFR 1.97(e) is provided below; or (ii) a fee a required under 37 CFR 1.17(p) is enclosed.

Enclosed is the required fee under 37 CFR 1.17(p).

Applicant's counsel is unable to locate copies of several of the references, and is attempting to retrieve them from the patent office file histories in other patent applications by the same inventors. Copies will be forwarded once the missing copies are located.

#### REMARKS

Applicant requests that these documents be considered by the examiner and made of record in the present application under 37 CFR § 1.104.

Respectfully submitted,

CAELUM IP, LLC

By its attorneys/agents

MOORE, HANSEN & SUMNER, PLLP

225 South Sixth Street

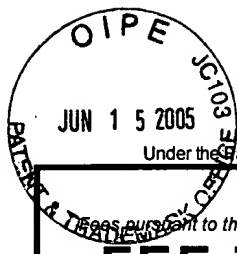
Suite 4850

Minneapolis, Minnesota 55402

(612) 332-8200

Date: 13 June 2005

By   
Shawn B. Dempster, Registration No. 34,321



PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/8/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

**Complete if Known**

Application Number	09/739,448
Filing Date	December 18, 2000
First Named Inventor	Jerome D. Johnson
Examiner Name	Natalie Pass
Art Unit	3626
Attorney Docket No.	O14-012-02-US

☒ Applicant Claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**855.00****METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 13-4300 Deposit Account Name: Moore, Hansen & Sumner, PLLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charges fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s)  
under 37 CFR 1.16 and 1.17☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Small Entity Fee (\$)	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
42	- 20 or HP = 22	x \$25.00	= \$ 550.00			

HP = highest number of total claims paid for, if greater than 20

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	- 3 or HP = 0	x \$100.00	= \$ 0.00

HP = highest number of independent claims paid for, if greater than 3

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
14	- 100 = 0	/ 50 = 0 (round up to a whole number)	x \$125.00	= \$0.00

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement (fee under 37 CFR 1.17(p))

**\$180.00****SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 34,321	Telephone 612-332-8200
Name (Print/Type)	Shawn B. Dempster	Date	13 June 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**Complete if Known**

Application Number	09/739,448
Filing Date	December 18, 2000
First Named Inventor	Jerome D. Johnson
Art Unit	3626
Examiner Name	Natalie Pass
Attorney Docket Number	O14-012-02-US

*(Use as many sheets as necessary)*

Sheet	1	of	6	Attorney Docket Number	O14-012-02-US
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> ( <i>if known</i> )			
		US-4,149,246	04-10-1979	R. Goldman	
		US-5,383,111	01-17-1995	K. Homma, et al.	
		US-5,041,972	08-20-1991	W. A. Frost	
		US-4,947,028	08-07-1990	J. Gorog	
		US-4,926,325	05-15-1990	W. Benton, et al.	
		US-4,860,123	08-22-1989	K. McCalley, et al.	
		US-5,396,417	03-07-1995	R. Burks, et al.	
		US-3,949,159	04-06-1976	C. Ricards, et al.	
		US-4,134,537	01-16-1979	P. Glaser, et al.	
		US-4,359,631	11-16-1982	L. Lockwood, et al.	
		US-4,553,206	11-12-1985	J. Smutek, et al.	
		US-4,670,798	06-02-1987	M. Campbell, et al.	
		US-4,706,212	11-10-1987	P. Toma	
		US-4,775,935	10-04-1988	D. Yourick	
		US-4,863,384	09-05-1989	M. Slade	
		US-4,899,292	02-06-1990	J. Montagna, et al.	
		US-4,899,299	02-06-1990	M. MacPhail	
		US-4,905,094	02-27-1990	T. Pocock, et al.	
		US-4,982,346	01-01-1991	K. Girouard, et al.	
		US-4,992,939	02-12-1991	B. Tyler	
		US-5,053,956	10-01-1991	G. Donald, et al.	

[illegible]Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitution for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/739,448
				Filing Date	December 18, 2000
				First Named Inventor	Jerome D. Johnson
				Art Unit	3626
				Examiner Name	Natalie Pass
				Attorney Docket Number	O14-012-02-US
Sheet	2	of	6		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,056,029	10-08-1991	T. Cannon	
		US-5,072,536	12-17-1991	M. Matthews, et al.	
		US-5,089,422	03-24-1992	R. Foresman, et al.	
		US-5,117,354	05-26-1992	G. Long, et al.	
		US-5,142,662	08-25-1992	D. Gump, et al.	
		US-5,212,634	05-18-1993	T. Washizaki, et al.	
		US-5,241,464	08-31-1993	K. Greulich, et al.	
		US-5,272,623	12-21-1993	D. Grubb, et al.	
		US-5,319,542	06-07-1994	J. King, Jr., et al.	
		US-5,343,386	08-30-1994	P. Barber	
		US-5,392,220	02-21-1995	P. van den Hamer, et al.	
		US-5,493,490	02-20-1996	J. Johnson	
		US-5,528,490	06-18-1996	C. Hill	
		US-5,608,638	03-04-1994	A. Tain, et al.	
		US-5,615,342	03-25-1997	J. Johnson	
		US-5,970,471	10-19-1999	C. Hill	
		US-6,357,036	03-12-2002	L. Eka, et al.	
		US-4,591,983	05-27-1986	J. Bennett, et al.	
		US-4,887,208	12-12-1989	B. Schneider, et al.	
		US-4,972,318	11-20-1990	G. Brown, et al.	
		US-4,992,940	02-12-1991	R. Dworkin	
		US-5,225,987	07-06-1993	T. Thompson	

[illegible]

Examiner Signature		Date Considered	
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				Application Number	09/739,448
				Filing Date	December 18, 2000
				First Named Inventor	Jerome D. Johnson
				Art Unit	3626
				Examiner Name	Natalie Pass
				Attorney Docket Number	O14-012-02-US
Sheet	3	Of	6		

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Examiner Signature		Date Considered	
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Substitute for form 1449B/PTO

**Complete if Known**

Application Number	09/739,448
Filing Date	December 18, 2000
First Named Inventor	Jerome D. Johnson
Art Unit	3626
Examiner Name	Natalie Pass
Attorney Docket Number	O14-012-02-US

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Use as many sheets as necessary)

Sheet of 6

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Buick Epic Electronic product information center brochure	
		CWC Sales & Training Systems, copyright 1993, Clear With Computers, Inc. 26 pages	
		Caudron, Shari, Sales -force automation comes of age. (includes related article on how Hewlett-Packard Co. Computer Systems implemented technology enabled selling applications). Industry Week, May 1996 Vol. 245 No. 10 pp 146.	
		Dialog File 16 (Database Prompt®) No 6170712, IBM's AS/400 Division and CWC release new, interactive sales system; New version of PSAS distributed to 2000 salespeople and business partners Business Wire, 2 pages.	
		Dietz, Dan, Customer-driven engineering, Mechanical Engineering, May 1996, Vol. 118 No. 5 pp 68.	
		W. Close, Gartner Group, Inc. Conference Presentation, Florida Symposium pp. 1-18 (1997).	
		Weidner, Davis, Hvac manufacturers see the need for sales automation software. (heating, ventilation, air conditioning equipment makers, other companies improve customized selling), Air Conditioning, Heating & Refrigeration News, Vol. 199 No. 8, p10.	
		Detroit Diesel Corporation, Bus Upgrade System Operator's Manual, Clear With Computers (1990).	
		Epic Quick Reference Flip Chart, Buick Motor Division, General Motors Corporation (1986).	
		From <a href="http://usa.autodesk.com/">http://usa.autodesk.com/</a> , Autodesk - Press Room -Facts.	
		From <a href="http://www.amazon.com/">http://www.amazon.com/</a> , Amazon .com books search results (for related subject of building blocks, from available libraries.	

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Sheet

5

of

6

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Application Number	09/739,448
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Attorney Docket Number	O14-012-02-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		From <a href="http://www.cadence.com/products/analog_design.html">http://www.cadence.com/products/analog_design.html</a> , an analog design environment where building blocks are put together from a library.	
		From <a href="http://www.pspice.com/publications/">http://www.pspice.com/publications/</a> , Spice Books – pspice.com design...prior books about a similar concept as the pending invention.	
		From Internet archive Wayback Machine, <a href="http://web.archive.org/">http://web.archive.org/</a> , searching pages of <a href="http://www.cadence.com/">http://www.cadence.com/</a> & <a href="http://www.microsim.com">http://www.microsim.com</a> .	
		Gatty, B. Setting Up Shop on Computer Screens, Nation's Business, pp 57-58 (March 1984).	
		GM Truck Compass, Read Me First, Operator's Manual, Clear With Computers (1991).	
		Herniter, Schematic capture with MicroSim Pspice, 2nd edition, 1986, including several pages showing the components and the functional of an electronic proposal drawing from beginning to finishing.	
		How to make the most of EPIC – user's guide, Electronic Product Information, Buick Motor Division, 902 East Hamilton Ave. Flint Michigan 48550.	
		Hurly, P. Boosting Sales...Electronically, Industry Week, pp 33-35 (March 31, 1986).	
		IDESS-An Integrated Design Automation System, Z. Mehmood et al., Proceedings of the 1987 IEEE Inter. Conf. On Computer Design: VLSI in Computers & Processors, 10/1987, pp.407-412.	
		ISIS Isuzu Sales information System, Australia, ISIS Operator's Manual, Clear With Computers (1992).	
		Leinfuss, E. Infowindow Display, Shown by IBM at NCC, Management Info. Sys. Week, p. 25 (June 23, 1986).	

Examiner  
Signature

Date

Considered

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Sheet 6 of 6

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite, No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Riggs, L. Direct Marketing Goes Electronic, S7MM pp. 59-60 (January 14, 1985).	
		Rozen, Electronic Stores Sell: Shoes to Cars, Dun's Business Month, Vol. 125, p 101 (January 1985).	
		Schneider, L. On Your Screen! EPB, VOL.2 No. 5 pp. 14-16 (September 1984).	
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Examiner Signature	Date Considered
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